

# **Enterprise Al Solutions Built For Today's Evolving Compliance** Landscape

From call recording to interaction analytics, Uniphore has you covered 100% of the time.



## The Costs—and Shortcomings—of Compliance

The price of complying with changing local, national and international requirements is going up—and so are the penalties for noncompliance.



of Business Revenue is spent on compliance on average, with 18% of businesses spending upwards of 50%.1

<sup>1</sup> Survey conducted by SAPIO Research for Uniphore

**58%** of Security & IT

**Professionals** say they need larger compliance budgets to meet today's tough regulatory requirements.<sup>2</sup>

<sup>2</sup> Coalfire Compliance Report 2023



# **People**

**ONLY** 

make up the average risk and compliance team, with most still conducting manual auditing tasks.<sup>3</sup>

<sup>3</sup> hhs.gov

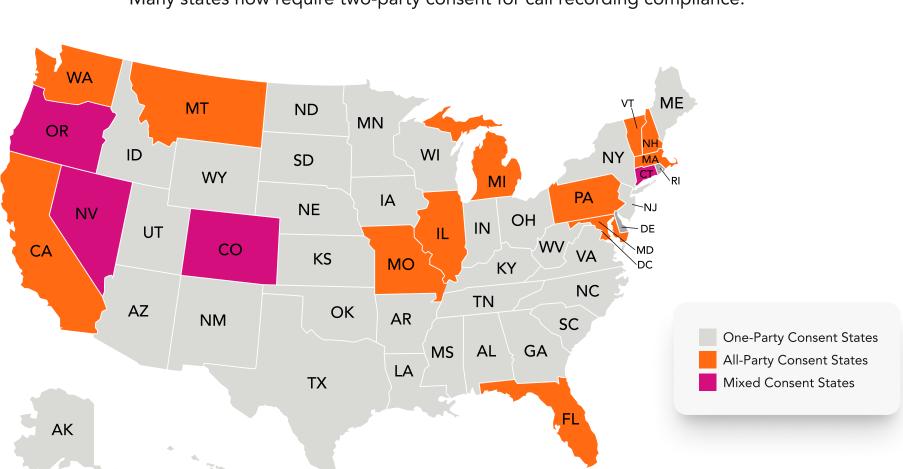


in Penalties for financial noncompliance in 2023.4 \$142 million in penalties incurred to date for HIPAA violators.5

<sup>4</sup> consumerfinance.gov <sup>5</sup> Recording Law.com

# **Call Recording Complexity**

Many states now require two-party consent for call recording compliance.3



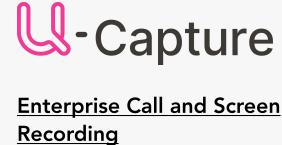
## Did You Know? Up to 8% of call recordings are lost before they can be audited,

according to various estimates.

# Uniphore's voice and screen recorder and interaction analytics solution help you

**Your Total Compliance AI Solution** 

ensure total compliance across every enterprise touchpoint.



## Enable complete compliance and data governance with AI-ready data captured

from every interaction.



### Improve compliance adherence and consistency and drive business outcomes

with Al-powered conversational insights.

# Regulatory Compliance for Wealth Management

Case Study

## An international banking group with 50 compliance team members automated compliance and monitored every conversation with Uniphore.

**CHALLENGE** 



18,000

could be audited manually

calls each day—only 5% of which



Compliance workflow automation

 Interaction analytics Quality monitoring



100%

50%

of calls monitored instead of auditing < 5%

reduced time needed to audit calls

"Uniphore transformed our quality and compliance efforts, saving our analysts' time, enabling us to monitor every conversation with investors, and improving compliance scores. Better yet, we're now able to gain actionable insights from the voice of the

customer to help us improve the investor engagement and experience."

# **Ensuring Compliance Across Industries**

Senior Vice President of Business Solutions & Change Management











